

A Uniform Framework for Handling Position Constraints in String Solving

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PLDI'25

String Solving

- Satisfiability of formulas over string constraints such as:

$$\underbrace{x = yz}_{\text{equations}} \wedge \underbrace{yz \neq ua}_{\text{disequalities}} \wedge \underbrace{x \in (ab)^*a^+(b|c)}_{\text{regular constraints}} \wedge \underbrace{|xy| = 2|uv| + 1}_{\text{length constraints}} \wedge \underbrace{\neg \text{contains}(uxz, zbcx)}_{\text{more complex operations}}$$

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 - scripting languages rely heavily on strings
- Analysis of AWS/Rego access policies
- ...
- implemented in solvers:
 - Z3, cvc5, ... (deduction-based)
 - NORN, TRAU, SLOTH, OSTRICH, Z3-NOODLER, ... (automata-based)

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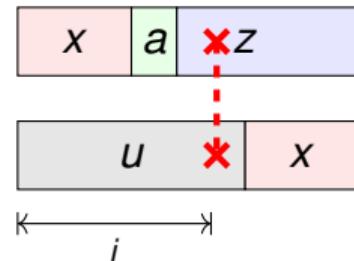
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$$xaz \neq ux \Leftrightarrow \exists i \in \mathbb{N}: (xaz)[i] \neq (ux)[i]$$

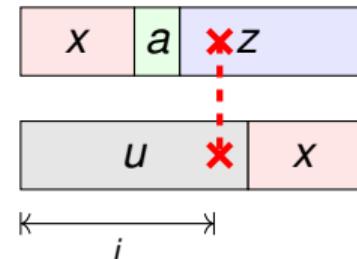


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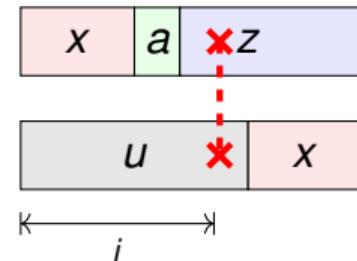
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Our Approach (High Level)

- 1 Construct the **tag automaton** \mathcal{A}_{tag} encoding positions' information
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Theorem (Parikh's theorem (modified))

Numbers of occurrences of symbols in words in a regular language can be described by a linear integer arithmetic formula.

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Numbers of occurrences of symbols in words in a regular language can be described by a linear integer arithmetic formula.

- 3 Solve $PF(\mathcal{A}_{tag})$ by an off-the-shelf LIA solver

Tag Automaton

Tag automaton over set of tags \mathbb{T} :

- extension of finite automaton
- $\mathcal{A}_{tag} = (Q, \Delta, I, F)$
 - ▶ Q : (finite) set of states
 - ▶ $I \subseteq Q$: initial states
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 - ▶ $\Delta \subseteq Q \times 2^{\mathbb{T}} \times Q$: transitions

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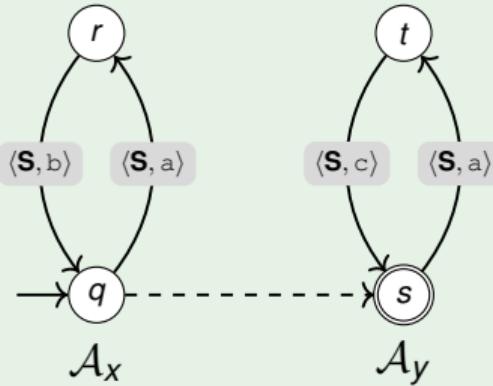
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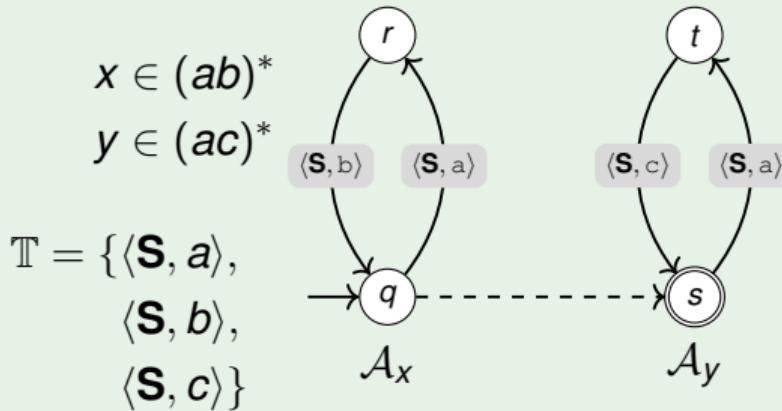


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Parikh formula $PF(\mathcal{A}_{tag})$:

- a linear integer arithmetic (LIA) formula over variables $\#\mathbb{T} = \{\#t \mid t \in \mathbb{T}\}$
- assignments $\{\#t \mapsto n_t \mid t \in \mathbb{T}, n_t \in \mathbb{N}\}$ (simplified)
- $m \models PF(\mathcal{A}_{tag})$ iff there is an accepting run in \mathcal{A}_{tag} s.t. $m(\#t)$ is the number of occurrences of a tag in a word accepted by \mathcal{A}_{tag} .
- e.g., if $ababacac \in L(\mathcal{A}_{tag})$ then $\{\#\langle \mathbf{S}, a \rangle = 4, \#\langle \mathbf{S}, b \rangle = 2, \#\langle \mathbf{S}, c \rangle = 2\} \models PF(\mathcal{A}_{tag})$

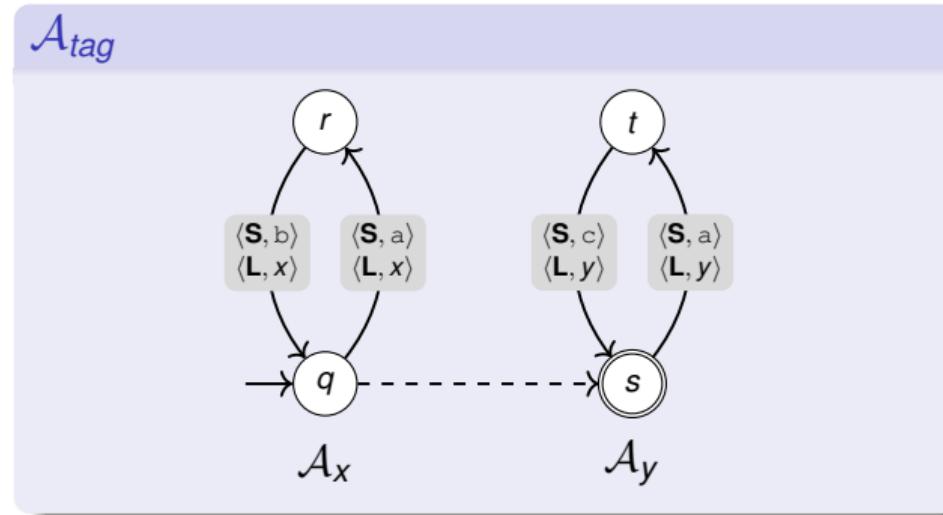
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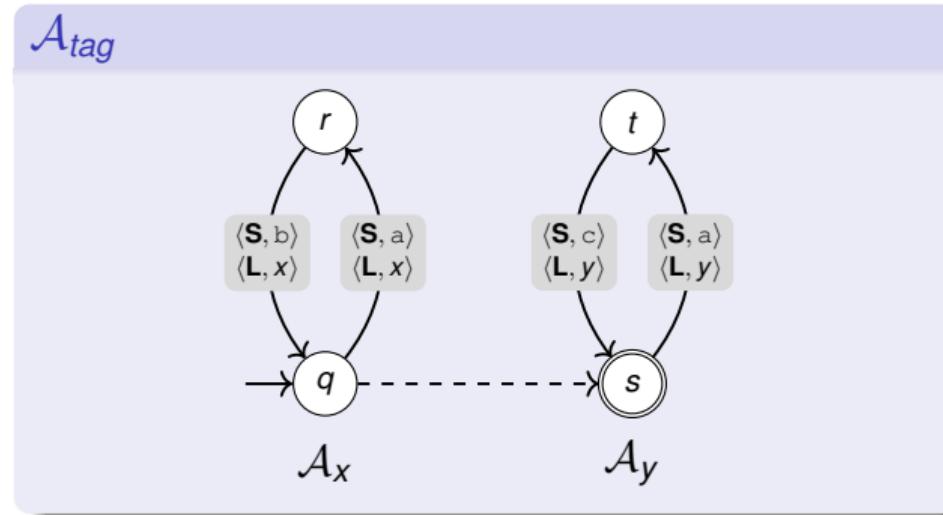
$$\begin{aligned}\mathbb{T} = & \{\langle \mathbf{S}, a \rangle, \langle \mathbf{S}, b \rangle, \langle \mathbf{S}, c \rangle\} \cup \\ & \{\langle \mathbf{L}, x \rangle, \langle \mathbf{L}, y \rangle\}\end{aligned}$$



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$$\varphi: PF(\mathcal{A}_{tag}) \quad \wedge \quad 2 \cdot \#\langle \mathbf{L}, x \rangle = 3 \cdot \#\langle \mathbf{L}, y \rangle + 2$$

Single Simple Disequality: $x \in (ab)^* \wedge y \in (ac)^* \quad \wedge \quad x \neq y$

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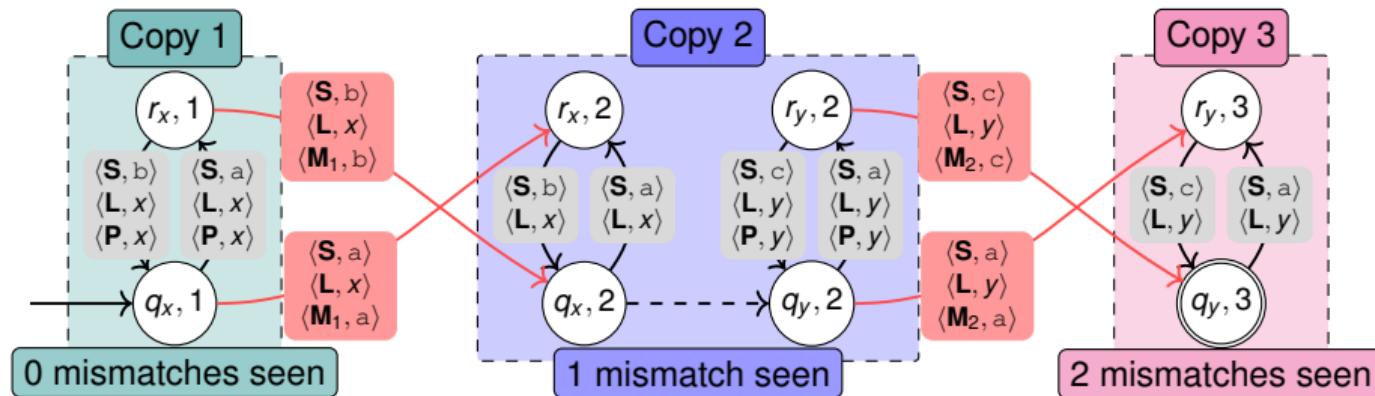
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- count the positions before the mismatch in x ($\#\langle \mathbf{P}, x \rangle$)
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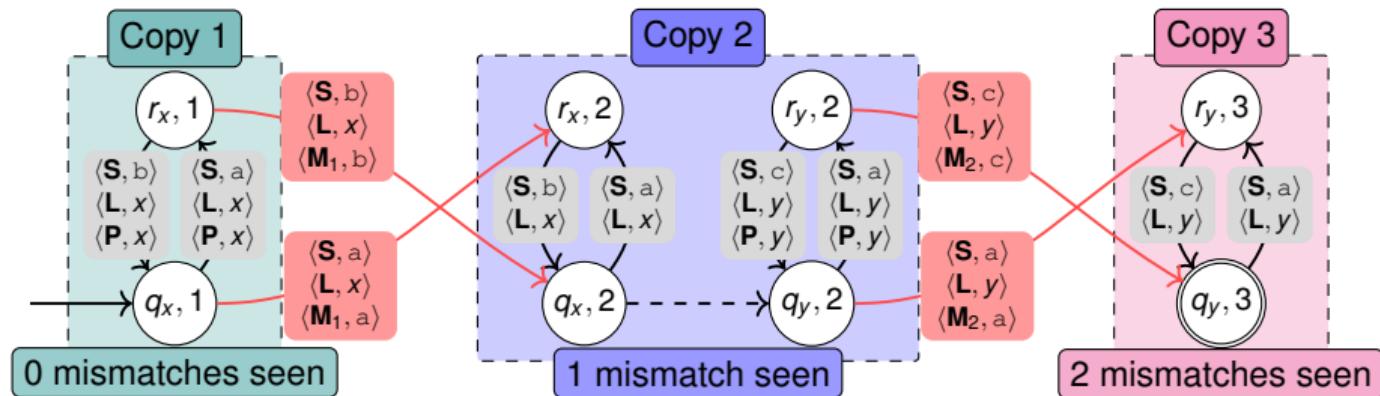


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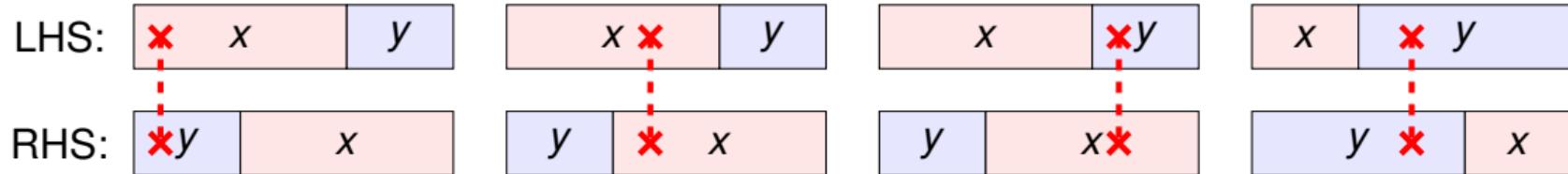


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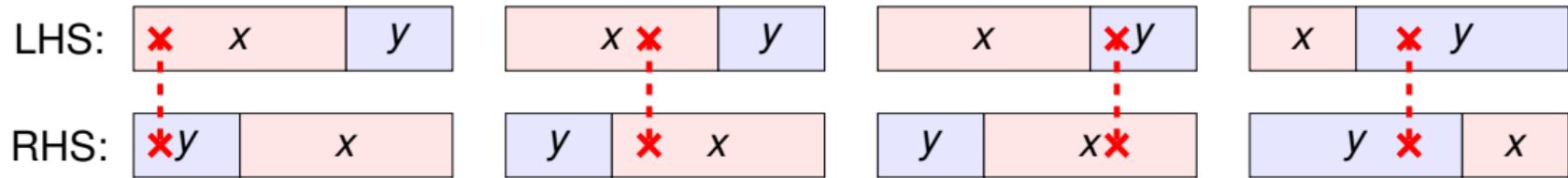
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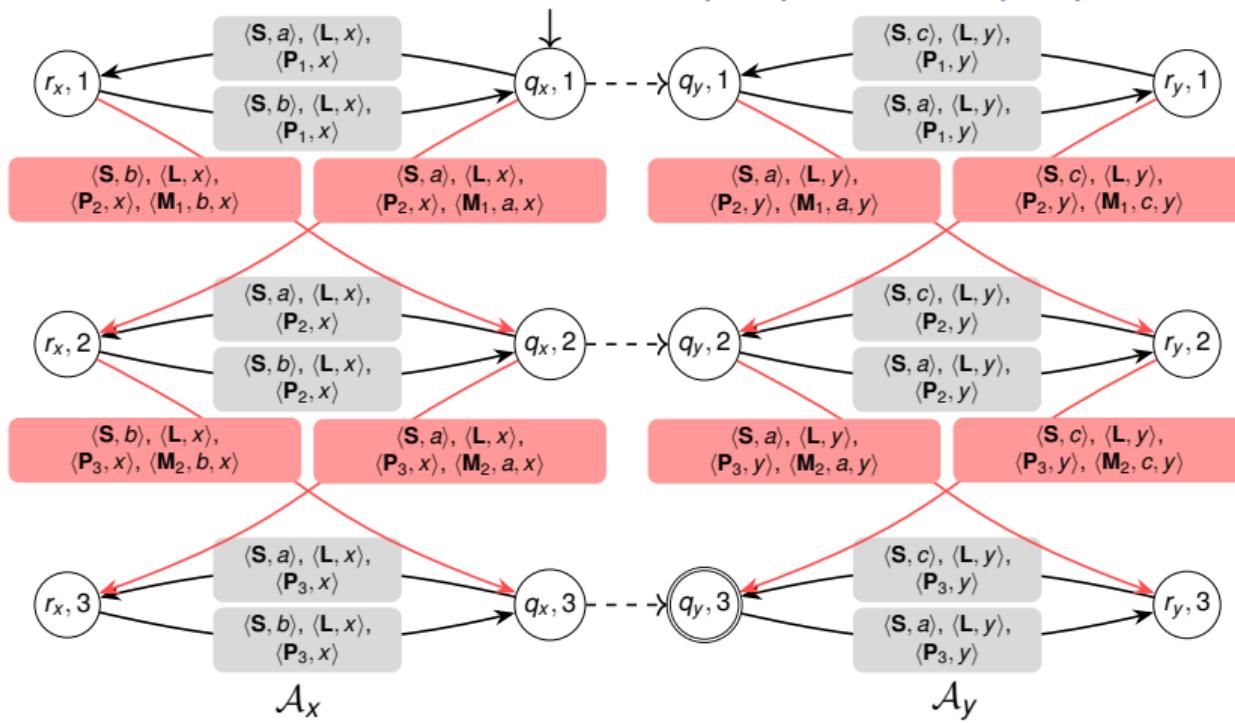
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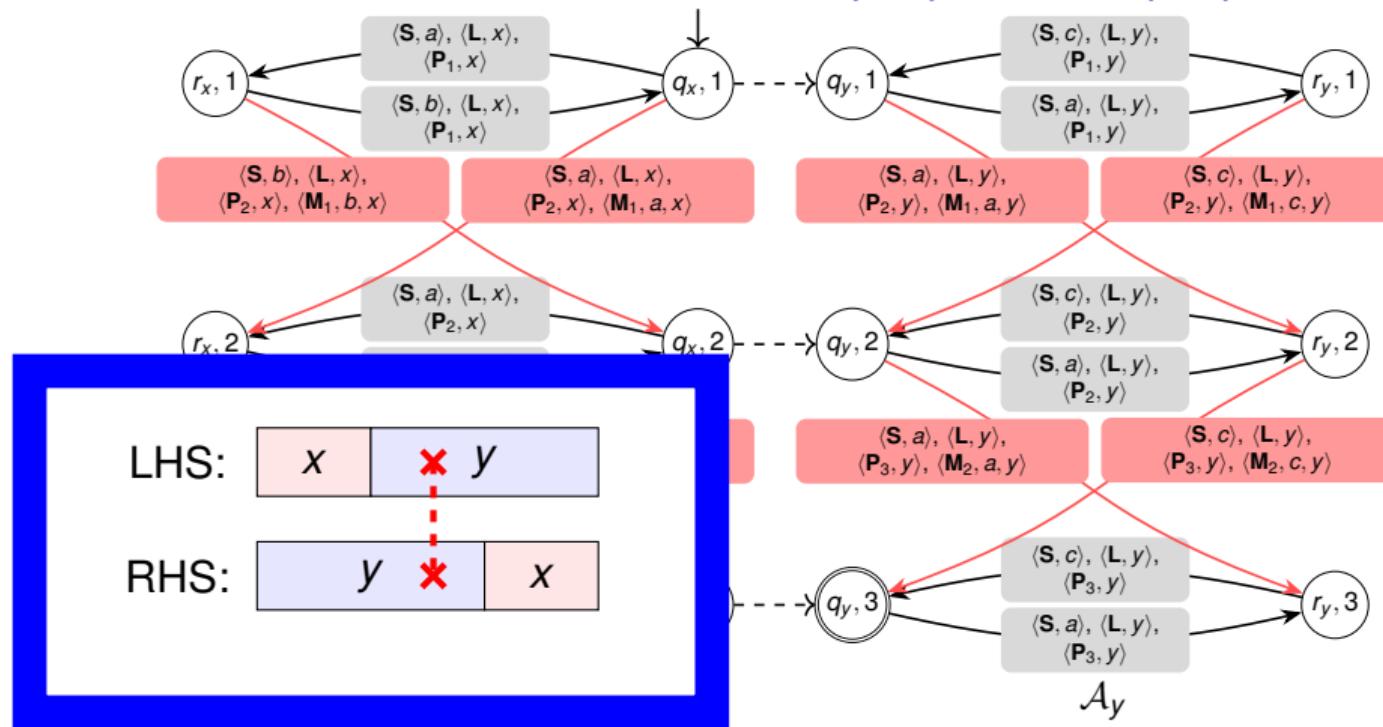
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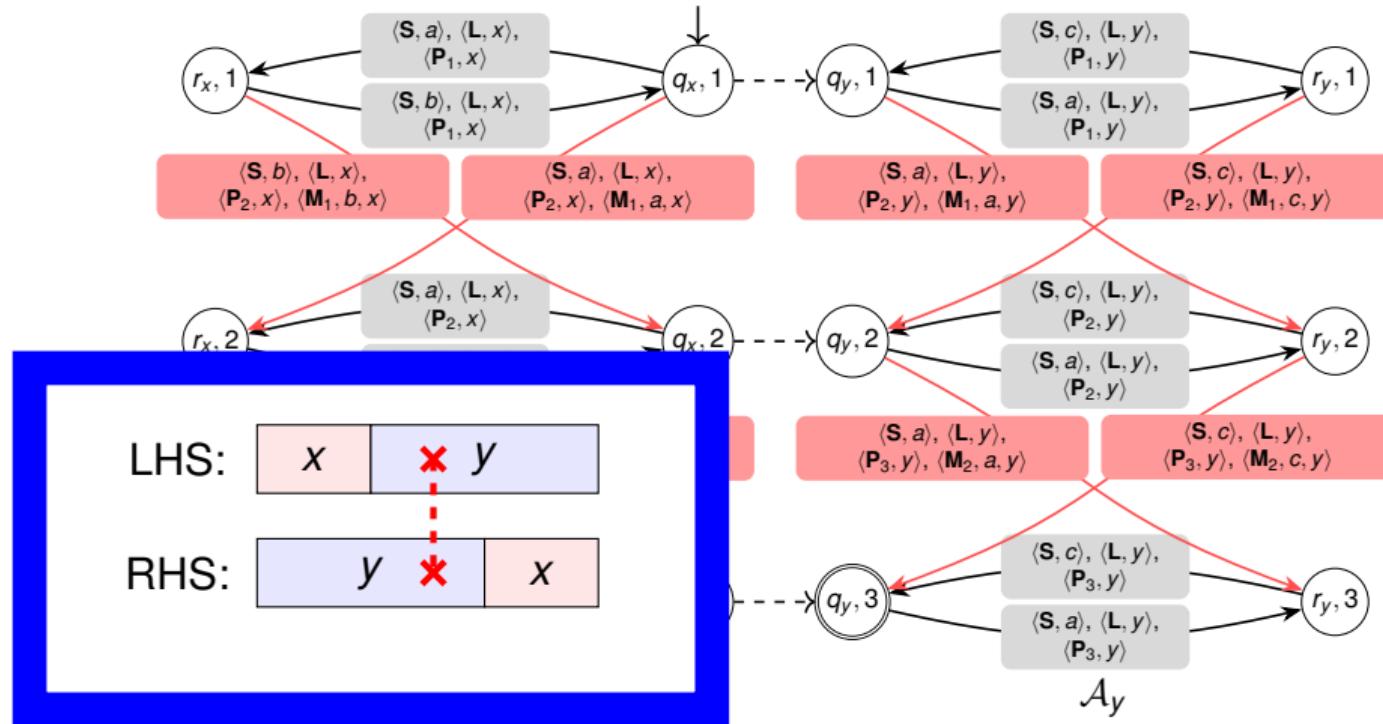
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Other constraints

- $\neg\text{prefixof}$, $\neg\text{suffixof}$, str.at , $\neg\text{str.at}$: similar technique
- $\neg\text{contains}$: ...

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$v:$

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---	---	---	---	---

a	a	b	b	a
---	---	---	----------	---

a	a	b	b	a
---	---	----------	---	---

$u:$

a	b	a
---	---	---

•	a	b	a
---	---	---	----------

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offset $\kappa = 0$

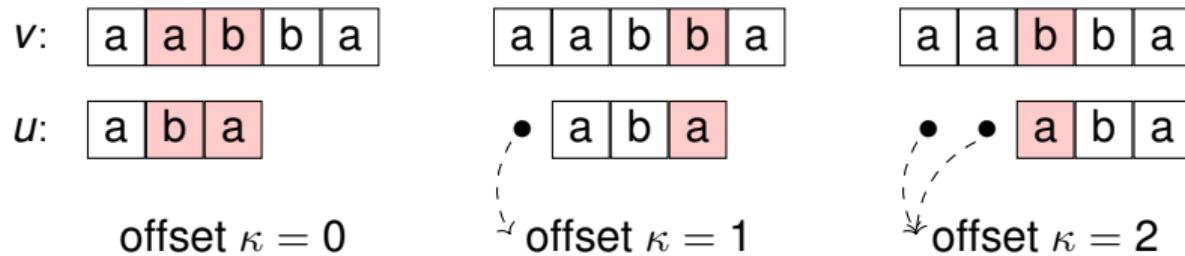
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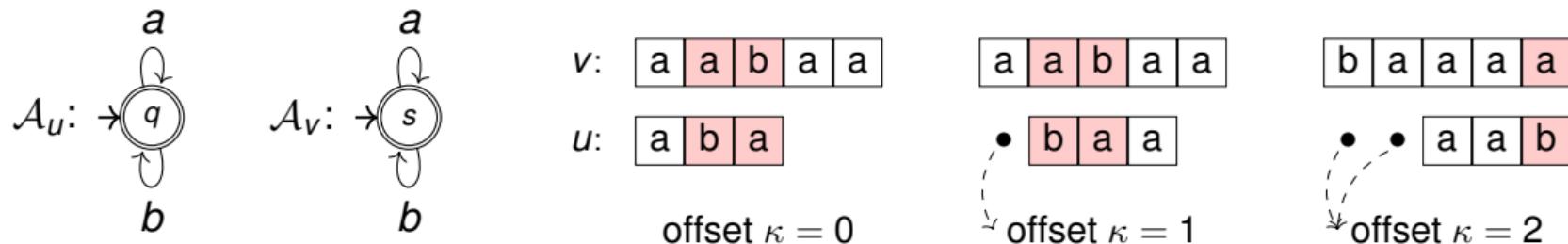
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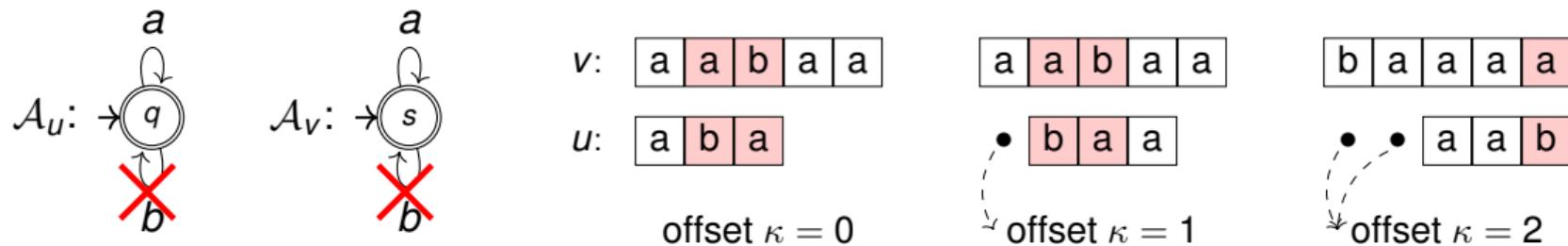


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- restriction to flat regular constraints
 - $\forall \exists$ LIA formula
- details in the paper!

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 - ▶ adding flat $\neg\text{contains}$:
 - NP-HARD (just one flat $\neg\text{contains}$ suffices)
 - in NExPTIME

Decidability and Complexity

REG: regular language membership constraints \mathcal{R}

- REG with **single disequality** $x_1 \dots x_m \neq y_1 \dots y_n$:
 - ▶ PTIME ... $\mathcal{O}(nm \cdot |\Sigma|^3 \cdot |\mathcal{R}|^6)$
 - ▶ also for $\neg\text{prefixof}$, $\neg\text{suffixof}$
- REG with **multiple disequalities** $\bigwedge_{q \leq i \leq K} (x_{i,1} \dots x_{i,m_i} \neq y_{i,1} \dots y_{i,n_i})$
 - ▶ NP-complete
 - ▶ also for $\neg\text{prefixof}$, $\neg\text{suffixof}$, str.at , $\neg\text{str.at}$, lengths
 - ▶ adding flat $\neg\text{contains}$:
 - NP-HARD (just one flat $\neg\text{contains}$ suffices)
 - in NExPTIME
- REG with multiple position constraints, lengths, and **chain-free word equations**
 - ▶ decidable (in ELEMENTARY)
 - ▶ efficient in practice!

Experimental Evaluation

- implemented in **Z3-NOODLER-POS** — extension of Z3-NOODLER
- compared to
 - ▶ Z3-NOODLER
 - ▶ cvc5
 - ▶ Z3
 - ▶ OSTRICH
- benchmarks:
 - ▶ **symbolic execution**¹: using Python PyCT symbolic executor
 - biopython (77,222): bioinformatics Python tools
 - django (52,643): Django Python web app
 - thefuck (19,872): Python command mistake correction tool
 - ▶ **hand-crafted**:
 - position-hard (550): difficult small formulae with \neq and $\neg contains$

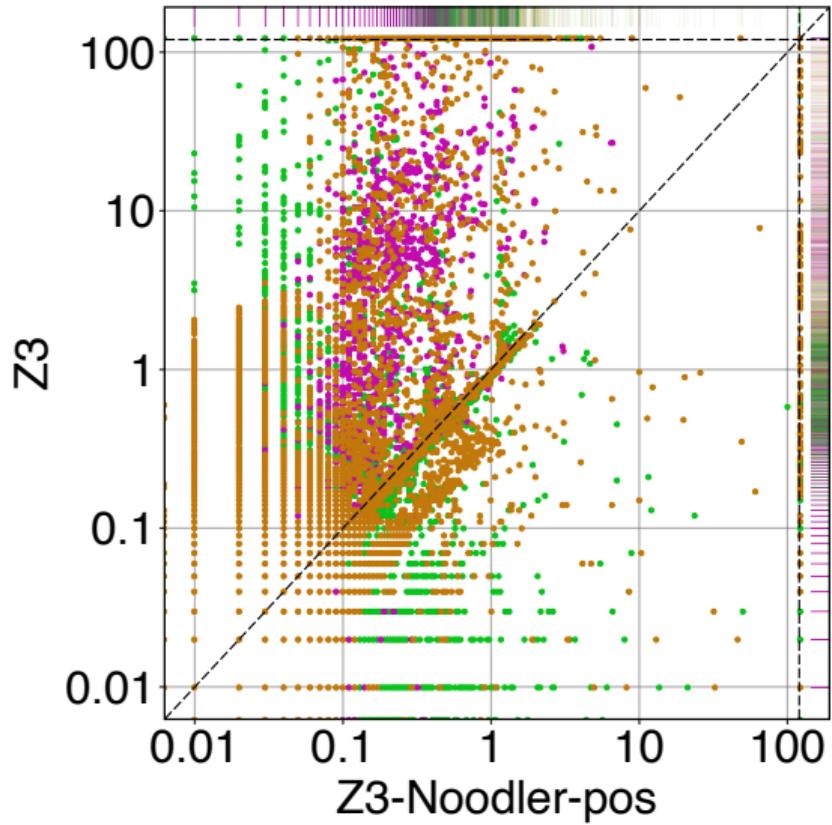
¹ Abdulla et al. “Solving not-substring constraint with flat abstraction”. In: *APLAS’21*.

Experimental Evaluation

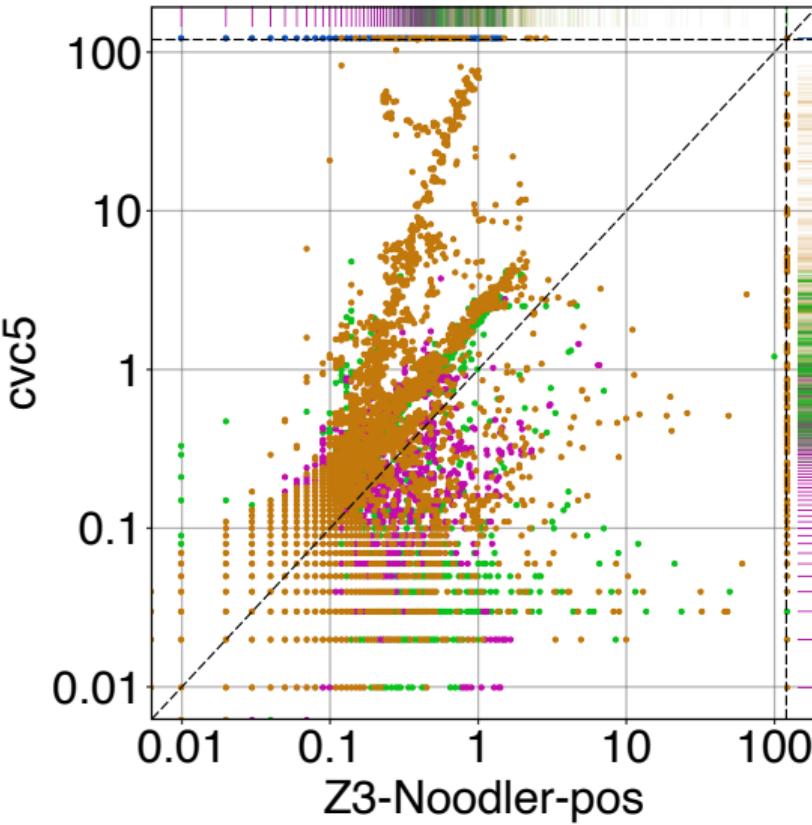
	biopython (77,222)		django (52,643)		thefuck (19,872)		position-hard (550)		All (150,287)	
	Unsolved	TimeAll	Unsolved	TimeAll	Unsolved	TimeAll	Unsolved	TimeAll	Unsolved	TimeAll
Z3-NOODLER-POS	171	24,010	39	8,005	0	665	0	124	210	32,804
Z3-NOODLER	507	64,385	145	20,873	376	45,757	480	59,512	1,508	190,527
CVC5	69	21,114	0	4,515	0	690	550	66,000	619	92,319
Z3	1,047	141,301	502	67,741	47	15,097	550	66,000	2,146	290,139
OSTRICH	2,986	1,108,306	4,404	1,507,806	967	236,192	550	66,000	8,907	2,918,304

- **Unsolved:** out of resources (timeout: 120 s) or Unk
- **TimeAll:** time-of-solved + (timeout * #-of-failed-instances)

Comparison with Z3 and cvc5



(a) vs. Z3



(b) vs. cvc5

Conclusion and Future Work

Takeaway:

- regular + position constraints \rightsquigarrow
 - \rightsquigarrow tag automaton \rightsquigarrow
 - \rightsquigarrow Parikh formula \rightsquigarrow
 - \rightsquigarrow LIA solver
- efficient in practice!

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- non-flat \neg contains
 - ▶ REG + 1 non-flat not-contains: decidable in EXPSPACE (under review)
- extend to richer REG constraints
 - ▶ backreferences, bounded repetition, ...

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Thank you!

Word Equations:

$$uxa = bwu$$

■ word equations

- ▶ \rightsquigarrow can be transformed to regular constraints
 - basic algorithm of main automata-based solvers (NORN, OSTRICH, Z3-NOODLER, ...)
 - obtain the so-called monadic decomposition
 - incomplete, but mostly works in practice

■ non-negated predicates: can be encoded as word equations

- ▶ e.g., $\text{prefixof}(x, y) \Leftrightarrow x = yz$

Standard Approach for Position Constraints

Standard approach for handling position constraints:

- encode to word equations
- disequalities: $x \neq y$:

$$\bigvee_{\substack{c_1, c_2 \in \Sigma \\ c_1 \neq c_2}} \alpha c_1 \beta = xyz \wedge \alpha c_2 \beta' = uawx$$

- other constraints: similar
- solving word equations is in PSPACE (but often solved by algorithms)
- breaks chain-freeness

Standard Approach for Position Constraints

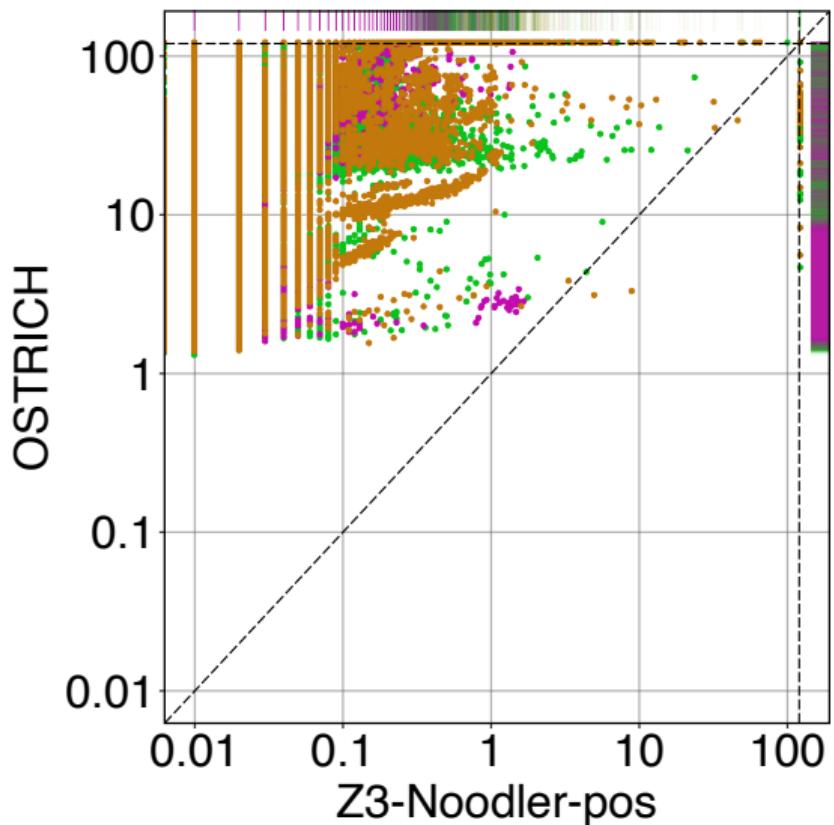
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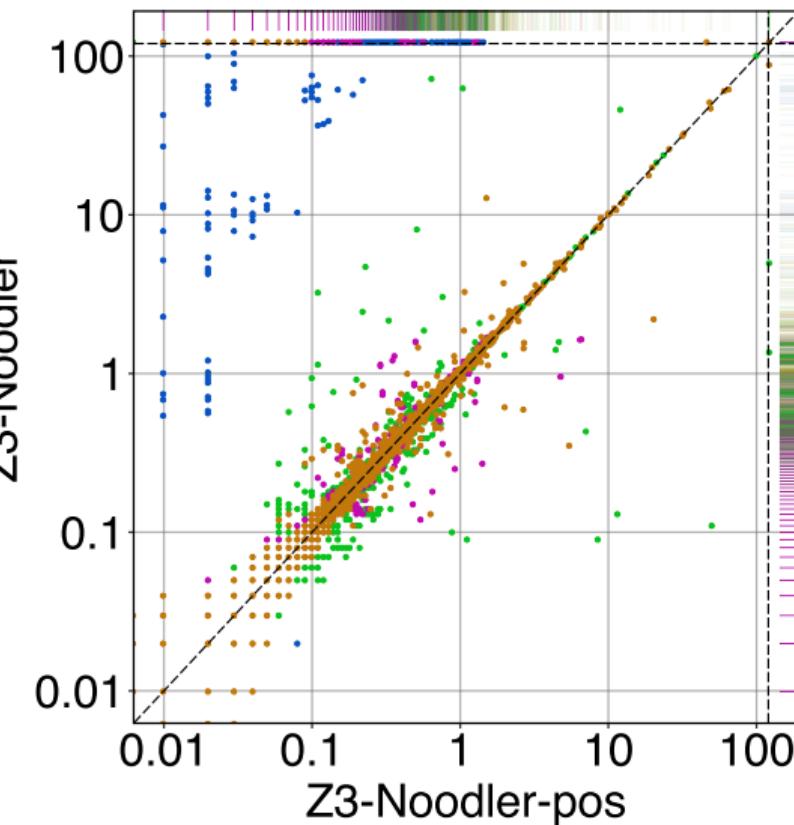
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- other constraints: similar
- solving word equations is in PSPACE (but often solved by algorithms)
- breaks chain-freeness
- $\neg contains$: no standard way
 - ▶ can be encoded in the $\forall \exists$ fragment of string constraints (undecidable)
 - ▶ $\neg contains(x, y)$

Comparison with OSTRICH and Z3-NOODLER



(a) Z3-NOODLER-POS vs. OSTRICH



(b) Z3-NOODLER-POS vs. Z3-NOODLER