# Word Equations in Synergy with Regular Constraints 

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## String solving

■ Satisfiability of formulas over string constraints such as:

$$
\underbrace{x=y z \wedge y \neq u}_{\text {(in)equations }} \wedge \overbrace{x \in(a b)^{*} a^{+}(b \mid c)}^{\text {regular constraints }} \wedge \overbrace{|x|=2|u|+1}^{\text {length constraints }} \wedge \underbrace{\text { contains }(u, \text { replaceAll }(z, b, c))}_{\text {more complex operations }}
$$

■ String manipulation in programs

- source of security vulnerabilities
- scripting languages rely heavily on strings

■ new examples of an intensive use of critical string operations

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- A source of difficulty: equations with regular constraints

■ Example: $z y x=x x z \wedge y \in a^{+} b^{+} \wedge z \in b^{*} \wedge x \in a^{*}$
■ results in an infinite case split
■ leads to failure for all current solvers (except ours!)

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- A source of difficulty: equations with regular constraints

■ Example: $z y x=x x z \wedge y \in a^{+} b^{+} \wedge z \in b^{+} \wedge x=\epsilon$
■ results in an infinite case split

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## String solving

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- it is UNSAT


## Our contribution

■ Decision procedure tightly integrating regular constraints with equations

- Gradually refines languages until:

■ an infeasible constraint is generated or

- refinement becomes stable
- Complete on chain-free fragment
- largest known decidable fragment for equations, regular, transducer, and length constraints
- terminates for all SAT instances

■ Prototype tool Noodler

- in Python

■ competitive with existing solvers

## Example

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
$$

■ $\Sigma=\{a, b\}$

## Example

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
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■ $\Sigma=\{a, b\}$
■ Use equations to refine regular constraints

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■ $\Sigma=\{a, b\}$
■ Use equations to refine regular constraints
■ Start with $x y x=z u$

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■ $\Sigma=\{a, b\}$
■ Use equations to refine regular constraints

- Start with $x y x=z u$

■ For any solution (assignment $v$ ) string $s=\nu(x) \cdot \nu(y) \cdot \nu(x)=\nu(z) \cdot \nu(u)$ satisfies:

$$
s \in \overbrace{\Sigma^{*}}^{x} \overbrace{\Sigma^{*}}^{y} \overbrace{\Sigma^{*}}^{x}=\overbrace{a(b a)^{*}}^{z} \overbrace{(b a b a)^{*} a}^{u}
$$

## Example

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
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$$

■ Refine $x, y$ from the left side $x y x$ using special intersection

## Intersection with epsilon transitions

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
$$


$■$ Construct automata for both sides
■ $\mathcal{A}_{z u}$ - concatenation of right side

- $\mathcal{A}_{x y x}$ - left side, keep $\epsilon$ transitions



## Intersection with epsilon transitions

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
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■ Construct intersection $\mathcal{A}_{x y x} \cap_{\epsilon} \mathcal{A}_{z u}$

- synchronous product construction

$$
\mathcal{A}_{x y x} \cap_{\epsilon} \mathcal{A}_{z u}
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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
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$$

$$
\begin{aligned}
& \rightarrow \text { (b) }-\rightarrow \text { (q) } \rightarrow \text { (I) } \mathcal{A}_{x y x} \\
& \mathcal{A}_{z u} \\
& \frac{(p, 3)}{a \uparrow} \\
& \text { (2) }(p, 2)-((q, 2) \\
& \rightarrow(1) \rightarrow(p, 1)-((q, 1)
\end{aligned}
$$

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$$

$$
\begin{aligned}
& \mathcal{A}_{z u} \\
& \rightarrow \text { (D) }-\rightarrow \text { (a) } \rightarrow \text { (C) } \mathcal{A}_{x y x}
\end{aligned}
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$$

$$
\begin{aligned}
& \overbrace{\substack{a, b \\
\rightarrow(b)}}^{x} \overbrace{a, b \in(a)} \overbrace{\substack{a, b_{Q} \\
\rightarrow(a)}}^{x} \mathcal{A}_{x y x}
\end{aligned}
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$$

$$
\begin{aligned}
& \overbrace{\substack{a, b \\
\rightarrow(b)}}^{x} \overbrace{a, b_{Q}}^{y} \overbrace{a, b_{Q}}^{x} \mathcal{A}_{x y x}
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$$

$$
\begin{aligned}
& \mathcal{A}_{z u} \rightarrow \text { (P) } \rightarrow \text { (a) }-\rightarrow \text { (C) } \mathcal{A}_{x y x}
\end{aligned}
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$$

$$
\begin{aligned}
& \text { (3) } \underbrace{((p, 3)}_{a \uparrow}-\underbrace{((q, 3)-}_{a \uparrow}-\underbrace{((r, 3))}_{a \uparrow} \\
& a^{1}(2) b \begin{array}{l}
(p, 2)-((q, 2)-((r, 2)) \\
\left.a^{1}\right) b \\
a(2) b \\
a(2) b
\end{array} \\
& \rightarrow(1) \rightarrow \underbrace{((p, 1)}_{x}-\underbrace{((q, 1)}_{y}-\underbrace{((r, 1)}_{x} \\
& \mathcal{A}_{x y x} \cap_{\epsilon} \mathcal{A}_{z u}
\end{aligned}
$$

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- synchronous product construction

■ keep $\epsilon$ transitions

- Variables $x$ and $y$ are nicely separated


## Noodlification and unification

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
$$

$$
\begin{aligned}
& \text { (3) } \underbrace{(p, 3)}_{a \uparrow}-\underbrace{((q, 3)-}_{a \uparrow}-\underbrace{(r, 3)}_{a \uparrow} \\
& a{ }^{(2)} b \frac{(p, 2)-}{\left.a^{1}\right) b}-\left(\frac{(q, 2)-}{a(2) b}-((r, 2)) b\right. \\
& \rightarrow(1) \rightarrow \underbrace{((p, 1)}_{x}-\underbrace{((q, 1)}_{y}-\underbrace{((r, 1))}_{x} \\
& \mathcal{A}_{x y x} \cap_{\epsilon} \mathcal{A}_{z u}
\end{aligned}
$$

- Split product into noodles

■ values of $y$ depends on values of $x$

## Noodlification and unification

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
$$

$$
\begin{aligned}
& \text { (3) } \underbrace{((p, 3)}_{a \uparrow}-\underbrace{((q, 3)-}_{a \uparrow}-\underbrace{((r, 3)}_{a \uparrow} \\
& a(2) b \frac{(p, 2)}{\left.a^{1}\right) b} \frac{(q, 2)}{\left.a^{1}\right) b} \frac{(r, 2)}{\left.a^{1}\right) b} \\
& \rightarrow(1) \rightarrow \underbrace{((p, 1)}_{x} \underbrace{((q, 1)}_{y} \underbrace{((r, 1)}_{x} \\
& \mathcal{A}_{x y x} \cap_{\epsilon} \mathcal{A}_{z u}
\end{aligned}
$$

- Split product into noodles
$\square$ values of $y$ depends on values of $x$


## Noodlification and unification

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
$$

- Split product into noodles
$\square$ values of $y$ depends on values of $x$
■ Noodle languages:
- $L_{1}^{x}=(a b)^{*} a$
- $L^{y}=\epsilon$

■ $L_{2}^{X}=\epsilon$

## Noodlification and unification

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
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$$
\begin{aligned}
& \mathcal{A}_{x y x} \cap_{\epsilon} \mathcal{A}_{z u}
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- Split product into noodles
$\square$ values of $y$ depends on values of $x$
■ Noodle languages:
- $L_{1}^{x}=(a b)^{*} a$
- $L^{y}=\epsilon$

■ $L_{2}^{x}=\epsilon$
$\square$ Unification:

- intersect langs for the same variable
- Lang $(x)=L_{1}^{x} \cap L_{2}^{x}=$

■ Lang $(y)=L^{y}=$

## Noodlification and unification

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
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- Split product into noodles
- values of $y$ depends on values of $x$

■ Noodle languages:

- $L_{1}^{x}=(a b)^{*} a$
- $L^{y}=\epsilon$

■ $L_{2}^{x}=\epsilon$
■ Unification:

- intersect langs for the same variable

■ Lang $(x)=L_{1}^{x} \cap L_{2}^{x}=(a b)^{*} a \cap \epsilon=\emptyset$
■ Lang $(y)=L^{y}=\epsilon$

## Noodlification and unification

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
$$

$$
\begin{aligned}
& \mathcal{A}_{x y x} \cap_{\epsilon} \mathcal{A}_{z u}
\end{aligned}
$$

- Split product into noodles
$\square$ values of $y$ depends on values of $x$
■ Noodle languages:
- $L_{1}^{x}=$
- $L^{y}=$
- $L_{2}^{x}=$

■ Unification:

- intersect langs for the same variable
- Lang $(x)=L_{1}^{x} \cap L_{2}^{x}=$
- Lang $(y)=L^{y}=$


## Noodlification and unification

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in \Sigma^{*} \wedge y \in \Sigma^{*} \wedge w \in \Sigma^{*}
$$

- Split product into noodles
- values of $y$ depends on values of $x$

■ Noodle languages:

- $L_{1}^{x}=a(b a)^{*}$
- $L^{y}=(a b)^{*}$
- $L_{2}^{x}=(b a)^{*} a$

■ Unification:

- intersect langs for the same variable
- $\operatorname{Lang}(x)=L_{1}^{x} \cap L_{2}^{x}=$ $a(b a)^{*} \cap(b a)^{*} a=a$
■ Lang $(y)=L^{y}=(a b)^{*}$


## Noodlification and unification

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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in a \wedge y \in(a b)^{*} \wedge w \in \Sigma^{*}
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## Continuing

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in a \wedge y \in(a b)^{*} \wedge w \in \Sigma^{*}
$$

■ Refine further with $w w=x a$ :


## Continuing

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in a \wedge y \in(a b)^{*} \wedge w \in a
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x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in a \wedge y \in(a b)^{*} \wedge w \in a
$$

■ Refine further with $w w=x a$ :


■ Languages in equations match:

and


## Continuing

$$
x y x=z u \wedge w w=x a \wedge u \in(b a b a)^{*} a \wedge z \in a(b a)^{*} \wedge x \in a \wedge y \in(a b)^{*} \wedge w \in a
$$

- Refine further with $w w=x a$ :

- Languages in equations match:

and


■ Because of stability (next slide), enough to decide SAT

## Stability of equation system

$■$ Single-equation system $\Phi: s=t \wedge \bigwedge_{x \in \mathbb{X}} x \in \operatorname{Lang}_{\phi}(x)$ where Lang ${ }_{\phi}: \mathbb{X} \rightarrow \mathcal{P}\left(\Sigma^{*}\right)$
System $\Phi$ has solution iff there is refinement Lang of $\operatorname{Lang}_{\phi}$ where $\operatorname{Lang}(s)=\operatorname{Lang}(t)$.
■ If all variables occuring in $t$ occur in $s=t$ exactly once:

System $\Phi$ has solution iff there is refinement Lang of Lang ${ }_{\Phi}$ where $\operatorname{Lang}(s) \subseteq \operatorname{Lang}(t)$.
■ Can be extended to multiple-equation system

## Experimental evaluation

|  | PyEx-Hard $(20,023)$ |  |  | Kaluza-Hard (897) |  |  | Str 2 (293) |  |  | Slog (1,896) |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | T/Os | time | time-T/0 | T/Os | time | time-T/0 | T/Os | time | time-T/0 | T/Os | time | time-T/0 |
| Noodler | 39 | 5,266 | 2,926 | 0 | 46 | 46 | 3 | 198 | 18 | 0 | 165 | 165 |
| Z3 | 2,802 | 178,078 | 9,958 | 207 | 15,360 | 2,940 | 149 | 8,955 | 15 | 2 | 332 | 212 |
| CVC5 | 112 | 12,523 | 5,803 | 0 | 55 | 55 | 92 | 5,525 | 5 | 0 | 14 | 14 |
| Z3str3RE | 814 | 49,744 | 904 | 10 | 622 | 22 | 149 | 8,972 | 32 | 55 | 4,247 | 947 |
| Z3str4 | 461 | 28,114 | 454 | 17 | 1,039 | 19 | 154 | 9,267 | 27 | 208 | 16,508 | 4,028 |
| Z3-Trau | 108 | 33,551 | 27,071 | 0 | 201 | 201 | 10 | 724 | 124 | 5 | 970 | 670 |
| OSTRICH | 2,979 | 214,846 | 36,106 | 111 | 14,912 | 8,252 | 238 | 14,497 | 217 | 2 | 13,601 | 13,481 |
| Sloth | 463 | 371,373 | 343,593 | 0 | 3,195 | 3,195 |  | N/A |  | 202 | 24,940 | 12,820 |
| Retro | 3,004 | 199,107 | 18,867 | 148 | 16,404 | 7,524 | 1 | 299 | 239 |  | N/A |  |

- $\mathrm{T} / \mathrm{Os}=$ timeouts

■ time = total run time in seconds

- time $-\mathrm{T} / \mathrm{O}=$ run time without timeouts

■ best values are in bold

## Comparison with CVC5 and Z3str4 on PyEx-Hard



## Discussion

- Can beat well established solvers
- can solve more benchmarks
- average time is low

■ Often complementary to other solvers
■ Preprocessing is important


Figure: Hardest 1,023 formulae of PyEx-Hard

## Future work

■ Current status:


■ Currently working on:
■ improved decision procedure handling other constraints

- fast C++ implementation within Z3

