

Reducing (to) the Ranks: Efficient Rank-based Büchi Automata Complementation

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- Automata over infinite words

Büchi Automata

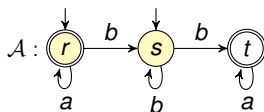
- Automata over **infinite words**
- $\mathcal{A} = (Q, \delta, I, F)$ over Σ
 - ▶ Q finite set of **states**
 - ▶ δ **transition** function; $\delta : Q \times \Sigma \rightarrow 2^Q$
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- Defines the class of **ω -regular languages**



- ▶ $\mathcal{L}(\mathcal{A}) = a^\omega + (\epsilon + a^*b)b^+a^\omega$
- ▶ $\boxed{r} \xrightarrow{a} \boxed{r} \xrightarrow{b} \boxed{s} \xrightarrow{b} \boxed{t} \xrightarrow{a} \boxed{t} \xrightarrow{a} \dots$

$$abba^\omega \in \mathcal{L}(\mathcal{A})$$

Motivation for Complementation

■ Model checking of linear-time properties

- ▶ property $\varphi \rightsquigarrow \mathcal{A}_\varphi$
- ▶ system $S \rightsquigarrow \mathcal{A}_S$
- ▶ checking $S \models \varphi \rightsquigarrow \mathcal{L}(\mathcal{A}_S) \subseteq \mathcal{L}(\mathcal{A}_\varphi) \rightsquigarrow \mathcal{L}(\mathcal{A}_S) \cap \mathcal{L}(\mathcal{A}_\varphi^c) = \emptyset$

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[HeizmannHP]

- ▶ removing traces with proved termination
- ▶ difference automaton

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■ Termination analysis: Ultimate Automizer

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■ Decision procedures:

- ▶ **S1S**: MSO over $(\omega, 0, +1)$
- ▶ **ETL**: extended temporal logic
- ▶ **QPTL**: quantified propositional temporal logic
- ▶ FO over **Sturmian words**

Büchi Automata Complementation

- More involved than for NFAs (cannot be determinized)
- Lower bound $(0.76n)^n$

[Yan'06]

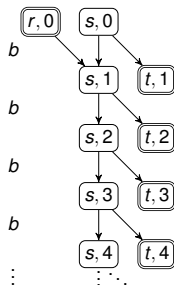
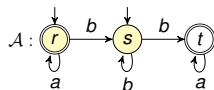
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- Lower bound $(0.76n)^n$ [Yan'06]
- Ramsey-based [Sistla, Vardi, Volper'87][BreuersLO'12]
- Determinization-based [Safrá'88][Piterman'06]
- Rank-based [KupfermanV'01][FriedgutKV'06][Schewe'09]
- Slice-based [Vardi, Wilke'08][Kähler, Wilke'08]
- Learning-based [Li, Turrini, Zhang, Schewe'18]
- Subset-tuple construction [Allred, Utes-Nitche'18]
- Semideterminization-based [BlahoudekDS'20]

Rank-based Complementation

■ Run DAG \mathcal{G}_α of a word α

- ▶ all runs on α in \mathcal{A}
- ▶ vertices: (s, ℓ) ; $s \in Q, \ell \in \mathbb{N}$

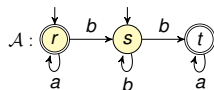


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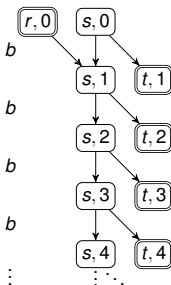
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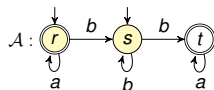


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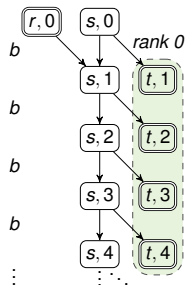
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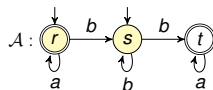


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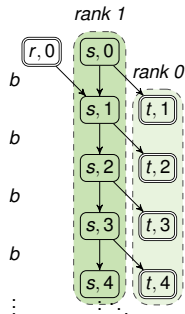
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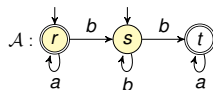


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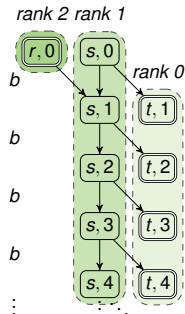
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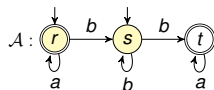


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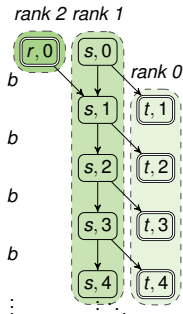
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Lemma

[Kupferman, Vardi'01]

If $\alpha \notin \mathcal{L}(\mathcal{A})$ then $\forall v \in \mathcal{G}_\alpha : \text{rank}(v) \leq 2|Q|$.

$b^\omega \notin \mathcal{L}(\mathcal{A})$

Rank-based Complementation *Cont.*

- **Nondeterministically** guesses run DAG ranks

[Schewe'09]

Rank-based Complementation *Cont.*

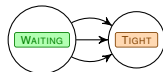
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 - to accept a word \rightsquigarrow **decrease ranks** of the runs from O
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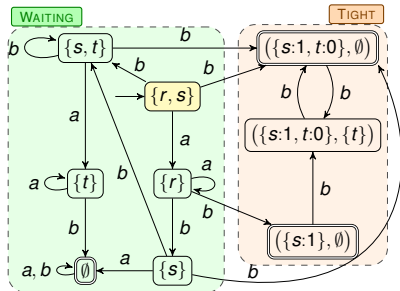
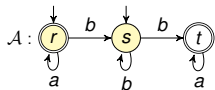
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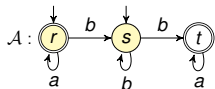
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- **WAITING** and **TIGHT** part
 - ▶ in **WAITING** guess the point from which all successor rankings are **tight** (only S -part)
 - ▶ in **TIGHT** track tight rankings



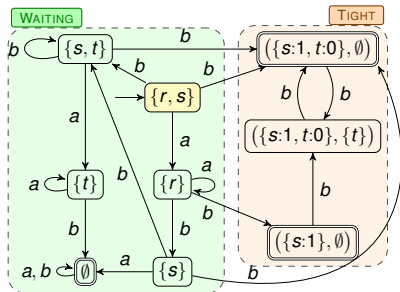
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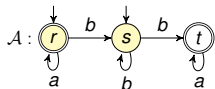
Rank-based Complementation *Example*



- $(\{s:1, t:0\}, \emptyset) \xrightarrow{b} (S', O', f')$
 - ▶ $S' = \delta(\{s, t\}, b) = \{s, t\}$
 - ▶ $f'(s) \leq f(s), f'(t) \leq f(s),$
 $f'(t)$ is even $\implies \{s:1, t:0\}$
 - ▶ $O' = \{t\}$ ($O' = S' \cap \text{even}(f')$)
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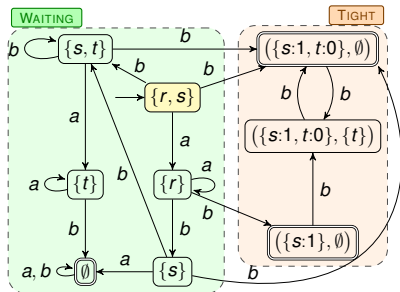


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- $(\{s:1, t:0\}, \{t\}) \xrightarrow{b} (S', O', f')$
 - ▶ S', f' similar to the previous case
 - ▶ $O' = \emptyset$ ($O' = \delta(\{t\}, b) \cap \text{even}(f')$)
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Rank-based Complementation *Problems*

■ Highly **nondeterministic**

- ▶ when to switch from **WAITING** to **TIGHT**?
- ▶ how to decrease ranks in **TIGHT**?
- ▶ \leadsto many different (redundant) successors!

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■ **Lightweight optimizations**

■ Based on the notion of **super-tight runs**

- ▶ a run on $\alpha \in \mathcal{L}(\mathcal{A}^G)$ using as small ranks as possible
- ▶ enough to preserve only super-tight runs

DELAY Optimization

- More super-tight runs that differ on the **position** of the transition from **WAITING** into **TIGHT**:

► $S_0 \xrightarrow{a} \dots \xrightarrow{a} S_k \xrightarrow{a} (S_{k+1}, \cdot, f_{k+1}) \xrightarrow{a} \dots$

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- Postpone transitions** to the tight part

- ▶ generate transitions only when a **cycle is closed** in **WAITING**
 - similar to the **C3** (cycle) condition in partial-order reduction (POR).
- ▶ **reduces** the number of transitions to **TIGHT**

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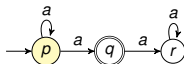
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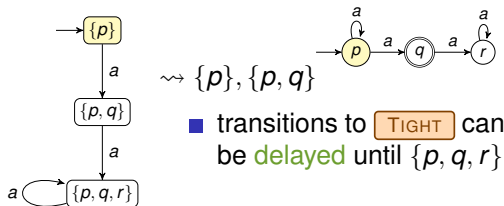
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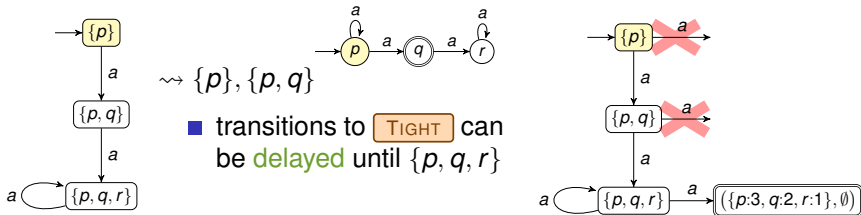
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Example



- transitions to **TIGHT** can be **delayed** until $\{p, q, r\}$

SuccRANK Optimization I

■ Reasoning about WAITING

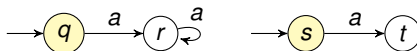
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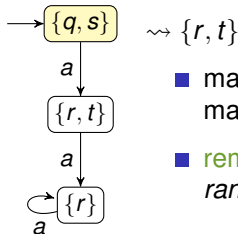
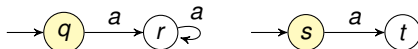


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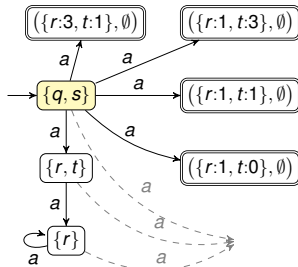
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Example



- maximal ∞ -reach macrostate: $\{r\}$
- remove $(\{r, t\}, \cdot, f)$ s.t.
 $\text{rank}(f) > 2|\{r\}| - 1 = 1$

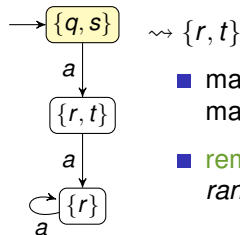
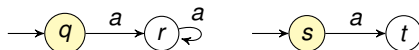


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Reasoning about WAITING

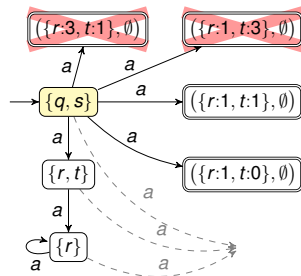
- ▶ WAITING defines the skeleton (S-part) of TIGHT
- ▶ sizes of reachable macrostates restrict the maximum rank
- ▶ bounded by the size of a max ∞ -often reachable macrostate $[\cdot]$
 - $(S, O, f) \rightsquigarrow \text{rank}(f) \leq 2|S| - 1$

Example



- maximal ∞ -reach macrostate: $\{r\}$

- remove $(\{r, t\}, \cdot, f)$ s.t.
 $\text{rank}(f) > 2|\{r\}| - 1 = 1$



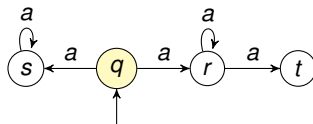
SuccRANK Optimization II

- Refine with a **minimal ∞ -often reachable** macrostate $\lfloor \cdot \rfloor$
 - ▶ (S, O, f)
 - ▶ $q \in S \rightsquigarrow$ at most $\lceil S \rceil - \lfloor q \rfloor$ states with **higher** rank than $f(q)$
 - ▶ **reduces** the value of $\text{rank}(f)$; $\text{rank}(f) \leq f(q) + 2(\lceil S \rceil - \lfloor q \rfloor)$

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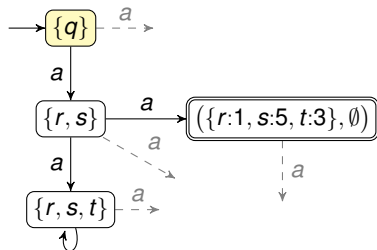
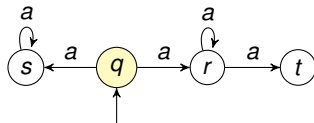
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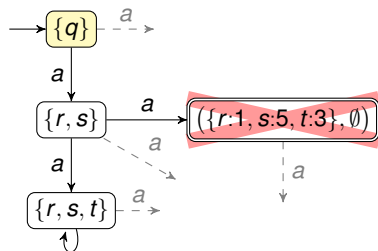
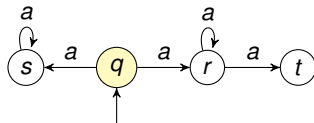
$$\rightsquigarrow (\{r:1, s:5, t:3\}, \emptyset)$$

- $\{r\} \rightarrow^* \{r, t\}$
- $\lceil \{r, s, t\} \rceil = 3, \lfloor r \rfloor = 2$
- $rank(f) \leq 1 + 2 = 3$
- **redundant macrostate**

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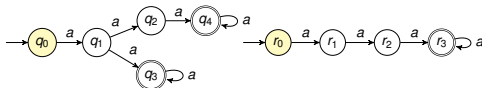
RANKSIM Optimization

- Relation between **state-ranks** inside each macrostate
- For each macrostate (S, O, f) in every **super-tight** run:
 - ▶ $p \preceq_{ors} r \wedge f(p) \text{ odd} \wedge f(r) \text{ odd} \implies f(p) \leq f(r)$
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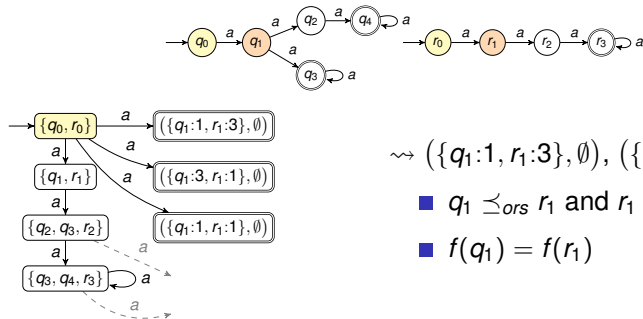
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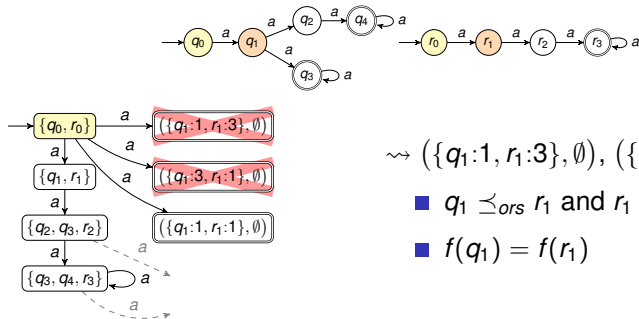
$$\rightsquigarrow (\{q_1:1, r_1:3\}, \emptyset), (\{q_1:3, r_1:1\}, \emptyset)$$

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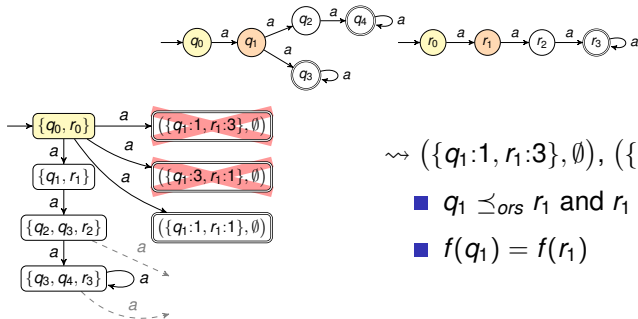
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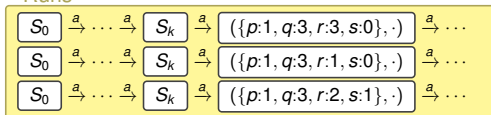
- $q_1 \preceq_{ors} r_1$ and $r_1 \preceq_{ors} q_1$
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- ▶ **underapproximation** \preceq_R of $\preceq_{ors} \rightsquigarrow$ **lfp** computation from \preceq_{di}
 - **step**: $\forall a \in \Sigma : (\delta(p, a) \setminus F) \preceq_R (\delta(q, a) \setminus F)$ then $p \preceq_R q$

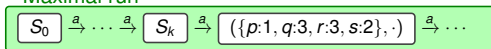
MAXRANK Optimization

- (inspired by REDAVGOUT from [Schewe'09])
- Represents several runs (incl. super-tight) using a **maximal run**

Runs



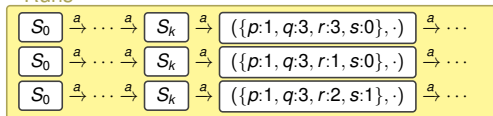
Maximal run



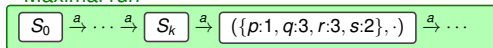
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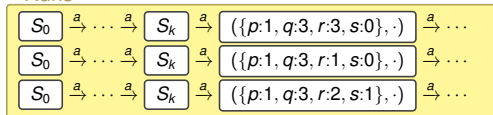


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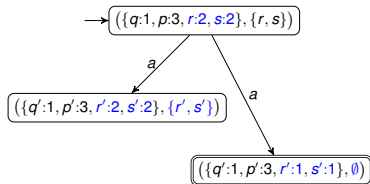
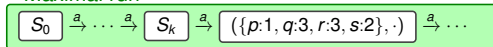
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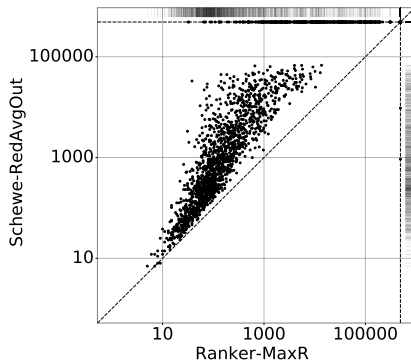
Experimental Evaluation

- **Random automata** $\Sigma = \{a, b\}$ from [Tsai,Fogarty,Vardi,Tsay'11]
 - ▶ starting with 15 states
 - ▶ reduced using SPOT, RABIT
 - ▶ removed semi-deterministic, inherently weak, unambiguous, empty language
 - ▶ **2393 hard automata**
 - ▶ **Timeout**: 5 min

Experimental Evaluation

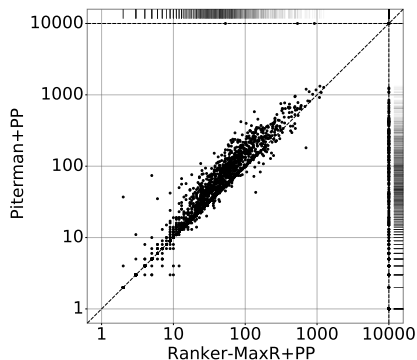
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 - ▶ 2393 hard automata
 - ▶ Timeout: 5 min
- **Implemented in C++** within RANKER
 - ▶ RANKER+PIT: combination of RANKER and PITERMAN \rightsquigarrow particular algorithm chosen according to **WAITING** and rank estimation
 - ▶ compared with:
 - GOAL⚽ (SCHEWEREDAVGOUT, SAFRA, PITERMAN, FRIBOURG)
 - SPOT,
 - LTL2DSTAR,
 - SEMINATOR 2,
 - ROLL

Experimental Evaluation—States *rank-based*



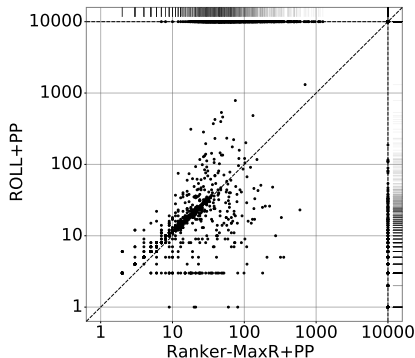
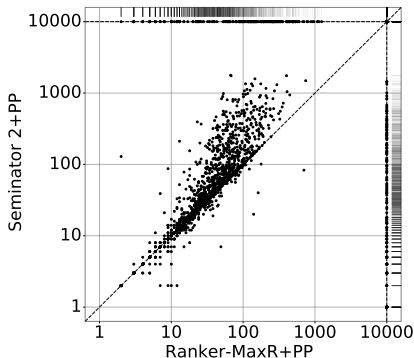
- $\text{SCHEWE}_{\text{REDAVGOUT}}$
- no postprocessing
- significant part of the state space pruned

Experimental Evaluation—States *not* rank-based 1



- PITERMAN (from GOAL ⚽)
 - ▶ on average better than SAFRA, SPOT, LTL2DSTAR
- determinization-based
- postprocessed by `autfilt`

Experimental Evaluation—States *not* rank-based 2



- SEMINATOR 2
- semideterminization-based
- postprocessed

- ROLL
- learning-based
- postprocessed

Experimental Evaluation—States *Cont.*

method	max	mean	med.	std. dev	TO	wins	(TO)	losses	(TO)
RANKER	319 119	8 051.58	185	28 891.4	360	—	—	—	—
SCHEWE _{RED} AVGOUT ☼	67 780	5 227.3	723	10 493.8	844	2030	(486)	3	(2)
RANKER	1 239	61.83	32	103.18	360	—	—	—	—
RANKER+PIT	1 706	73.65	33	126.8	17	—	—	—	—
PITERMAN ☼	1 322	88.30	40	142.19	12	1 069	(3)	469	(351)
SAFRA ☼	1 648	99.22	42	170.18	158	1 171	(117)	440	(319)
SPOT	2 028	91.95	38	158.13	13	907	(6)	585	(353)
FRIBOURG ☼	2 779	113.03	36	221.91	78	996	(51)	472	(333)
LTL2DSTAR	1 850	88.76	41	144.09	128	1 156	(99)	475	(331)
SEMINATOR 2	1 772	98.63	33	191.56	345	1 081	(226)	428	(241)
ROLL	1 313	21.50	11	57.67	1 106	1 781	(1 041)	522	(295)

- RANKER: smallest mean and median (except ROLL), 15 % TO
- RANKER +PIT: 0.7 % TO
- In 22.5 % cases **strictly smaller** BA
- In 63.4 % cases **at least as small as** the best result

Conclusion

- Series of **optimizations** reducing the state space in **rank-based complementation**
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 - ▶ more precise rank estimation according to **automaton structure**
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THANK YOU!

Experimental Evaluation–Time

method	mean	med.	std. dev
RANKER	10.21	0.84	28.43
RANKER+PIT	9.40	3.03	16.00
PITERMAN ☼	7.47	6.03	8.46
SAFRA ☼	15.49	7.03	35.59
SPOT	1.07	0.02	8.94
FRIBOURG ☼	19.43	10.01	32.76
LTL2DSTAR	4.17	0.06	22.19
SEMINATOR 2	11.41	0.37	34.97
ROLL	42.63	14.92	67.31